

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Docket No.: 153501-0357	Application No.: 09/853,852
FORM PTO-1449		Applicant: Geis, E. et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: 05/11/01	Group: 2838
(Use several sheets if necessary)			

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
IL	5,559,421	09/24/96	Miyakawa	322	58	
L	4,862,009	08/29/89	King	290	22	
	4,841,216	06/20/89	Okada et al.	322	10	
	4,119,861	10/10/78	Gocho	290	13	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
a	EP 0 837 231	22.04.98	EP			
a	EP 0 679 800	27.04.95	EP			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>11/9/04</i>
--------------------------------	-----------------------------------

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Evertt R. GelsFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
lw	AA1	2,864,244	12/1958	Prachar			
	AB1	3,124,924	3/1964	Smith			
	AC1	3,569,809	3/1971	Comer			
	AD1	3,703,076	11/1972	Hagemeister			
	AE1	3,764,815	10/1973	Habock <i>et al.</i>			
	AF1	3,829,758	8/1974	Studtmann			
	AG1	3,991,357	11/1976	Kaminski			
	AH1	4,015,187	3/1977	Sasaki <i>et al.</i>			
	AI1	4,258,424	3/1981	Giras <i>et al.</i>			
	AJ1	4,265,099	5/1981	Johnson <i>et al.</i>			
	AK1	4,292,534	9/1981	Diegel <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
lw	AL1	3634328	4/1987	DE			Yes No
	AM1	19704662	8/1998	DE			Yes No
	AN1	0 472 294	2/1992	EP			Yes No
	AO1	0 837 231	4/1998	EP			Yes No
	AP1	0 901 218	3/1999	EP			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

lw	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
lw	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
lw	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Evertt R. GeisFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
16	AA2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
	AB2	4,401,938	8/1983	Cronin			
	AC2	4,442,385	4/1984	Van Sickle			
	AD2	4,498,551	2/1985	Arbisi			
	AE2	4,551,980	11/1985	Bronicki			
	AF2	4,560,364	12/1985	Cohen			
	AG2	4,565,957	1/1986	Gary <i>et al.</i>			
	AH2	4,684,814	8/1987	Radomski			
	AI2	4,709,318	11/1987	Gephart <i>et al.</i>			
	AJ2	4,719,550	1/1988	Powell <i>et al.</i>			
	AK2	4,730,397	3/1988	Weiford <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
16	AL2	WO 94/27359	11/1994	WO			Yes No
	AM2	WO 98/25014	6/1998	WO			Yes No
	AN2	WO 99/32762	7/1999	WO			Yes No
	AO2	WO 99/52193	10/1999	WO			Yes No
	AP2	WO 00/28191	5/2000	WO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

16	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	German Patent Application Abstract entitled "Control Circuit for Turbine AC Motor-Generator - Monitors Power Supply to Regulate Secondary Excitation Windings for Constant Operation of Synchronous Machine, filed October 8, 1986, German Serial No. DE 3634328 (April, 1987)..
	AT	2	German Patent Application Abstract entitled "Load Symmetrising e.g. for Several Power Supply Modules Including Rectifier Modules - Involves Checking Each Module to Assess Whether Bus Functions in Recessive or Dominant Condition," filed February 7, 1997, German Serial No. DE 19704662 (August, 1998).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852

FIRST NAMED INVENTOR

Evertt R. Geis

FILING DATE

May 11, 2001

ART UNIT

2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>lu</i>	AA3	4,754,607	7/1988	Mackay			
	AB3	4,757,686	7/1988	Kawamura <i>et al.</i>			
	AC3	4,772,802	9/1988	Glennon <i>et al.</i>			
	AD3	4,802,882	2/1989	Heidrich			
	AE3	4,833,887	5/1989	Kawamura <i>et al.</i>			
	AF3	4,883,973	11/1989	Lakey <i>et al.</i>			
	AG3	4,908,565	3/1990	Cook <i>et al.</i>			
	AH3	4,955,199	9/1990	Kawamura			
	AI3	4,967,096	10/1990	Diemer <i>et al.</i>			
	AJ3	4,968,926	11/1990	Dhyanchand			
	AK3	4,982,569	1/1991	Bronicki			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>lu</i>	AL3	6-108879	04/1994	Japan			Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>lu</i>	AR	<u>3</u>	
	AS	<u>3</u>	
	AT	<u>3</u>	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Evertt R. GeisFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>IC</i>	AA4	5,013,929	5/1991	Dhyanchand			
	AB4	5,015,941	5/1991	Dhyanchand			
	AC4	5,029,062	7/1991	Capel			
	AD4	5,038,566	8/1991	Hara			
	AE4	5,055,764	10/1991	Rozman <i>et al.</i>			
	AF4	5,057,763	10/1991	Torii <i>et al.</i>			
	AG4	5,088,286	2/1992	Muraji			
	AH4	5,115,183	5/1992	Kyoukane <i>et al.</i>			
	AI4	5,158,504	10/1992	Stocco			
	AJ4	5,191,520	3/1993	Eckersley			
	AK4	5,250,890	10/1993	Tanamachi <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>4</u>	
	AS	<u>4</u>	
	AT	<u>4</u>	

EXAMINER *IC*DATE CONSIDERED
10/6/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Evertt R. GelsFILING DATE
May 11, 2001ART UNIT
2837

INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA5	5,252,860	10/1993	McCarty <i>et al.</i>			
AB5	5,260,637	11/1993	Pizzi			
AC5	5,291,106	3/1994	Murty <i>et al.</i>			
AD5	5,309,081	5/1994	Shah <i>et al.</i>			
AE5	5,325,043	6/1994	Parro			
AF5	5,345,154	9/1994	King			
AG5	5,363,032	11/1994	Hanson <i>et al.</i>			
AH5	5,364,309	11/1994	Heidrich <i>et al.</i>			
AI5	5,373,195	12/1994	De Doncker <i>et al.</i>			
AJ5	5,381,081	1/1995	Radun			
AK5	5,404,092	4/1995	Gegner			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL5						Yes No
AM5						Yes No
AN5						Yes No
AO5						Yes No
AP5						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	5	
AS	5	
AT	5	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852

INFORMATION DISCLOSURE STATEMENT

FIRST NAMED INVENTOR
Evertt R. GelsFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA6	5,406,797	4/1995	Kawamura			
AB6	5,477,091	12/1995	Fiorina <i>et al.</i>			
AC6	5,488,286	1/1996	Rozman <i>et al.</i>			
AD6	5,495,162	2/1996	Rozman <i>et al.</i>			
AE6	5,495,163	2/1996	Rozman <i>et al.</i>			
AF6	5,510,696	4/1996	Naidu <i>et al.</i>			
AG6	5,512,811	4/1996	Latos <i>et al.</i>			
AH6	5,545,090	8/1996	Kirschey			
AI6	5,546,742	8/1996	Shekhawat <i>et al.</i>			
AJ6	5,550,410	8/1996	Titus			
AK6	5,550,455	8/1996	Baker			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL6						Yes No
AM6						Yes No
AN6						Yes No
AO6						Yes No
AP6						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	6	
AS	6	
AT	6	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

2066.0060001

APPLICATION NO.

09/853,852

FIRST NAMED INVENTOR

Evertt R. Gels

FILING DATE

May 11, 2001

ART UNIT

2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA7	5,563,802	10/1996	Plahn <i>et al.</i>			
	AB7	5,568,023	10/1996	Grayer <i>et al.</i>			
	AC7	5,572,108	11/1996	Windes			
	AD7	5,581,168	12/1996	Rozman <i>et al.</i>			
	AE7	5,594,322	1/1997	Rozman <i>et al.</i>			
	AF7	5,637,987	6/1997	Fattic <i>et al.</i>			
	AG7	5,646,458	7/1997	Bowyer <i>et al.</i>			
	AH7	5,656,915	8/1997	Eaves			
	AI7	5,767,637	6/1998	Lansberry			
	AJ7	5,903,116	5/1999	Geis <i>et al.</i>			
	AK7	5,905,346	5/1999	Yamada <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	I	
	AS	I	
	AT	I	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852

INFORMATION DISCLOSURE STATEMENT

FIRST NAMED INVENTOR
Evertt R. GelsFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA8	5,929,537	7/1999	Glennon			
AB8	5,936,312	8/1999	Koide <i>et al.</i>			
AC8	5,939,794	8/1999	Sakai <i>et al.</i>			
AD8	5,946,086	8/1999	Bruce			
AE8	5,982,645	11/1999	Levrant <i>et al.</i>			
AF8	5,998,976	12/1999	Steffan			
AG8	6,005,297	12/1999	Sasaki <i>et al.</i>			
AH8	6,020,713	2/2000	Geis <i>et al.</i>			
AI8	6,023,135	2/2000	Gilbreth <i>et al.</i>			
AJ8	6,031,294	2/2000	Geis <i>et al.</i>			
AK8	6,051,951	4/2000	Arai <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL8						Yes No
AM8						Yes No
AN8						Yes No
AO8						Yes No
AP8						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	8	
AS	8	
AT	8	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Evert R. GeisFILING DATE
May 11, 2001ART UNIT
2837

INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA9		6,064,122	5/2000	McConnell			
AB9		6,147,414	11/2000	McConnell <i>et al.</i>			
AC9		6,153,942	11/2000	Roseman <i>et al.</i>			
AD9		6,169,332 B1	1/2001	Taylor <i>et al.</i>			
AE9		6,169,334 B1	1/2001	Edelman			
AF9		6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
AG9		6,194,794 B1	2/2001	Lampe <i>et al.</i>			
AH9		6,323,625	11/2001	Bhargava			
AI9		6,487,096 B1	11/2002	Gilbreth <i>et al.</i>			
AJ9							
AK9							

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL9							Yes No
AM9							Yes No
AN9							Yes No
AO9							Yes No
AP9							Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	9	
AS	9	
AT	9	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.